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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cannon et al.

Serial No.: 09/864,927

Filed: May 24, 2001

For: METHOD AND APPARATUS FOR

GAMING MACHINES WITH A

TOURNAMENT PLAY BONUS FEATURE

Examiner: Unknown

Group Art Unit: 3713

Attorney Docket No.: 4624US

(300-003-CIP)

#### CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231

August 24, 2001 Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Joseph A. Walkowski
Typed/printed name of person whose signature is contained above

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attention: Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a copy of the Notice to File Missing Parts of Application, along with the following required items in connection with the above-referenced application: Declaration for Patent Application (With Power of Attorney) (2 total pages). This document is being filed within two (2) months from the mailing date of the Notice to File Missing Parts.

Also enclosed are Preliminary Amendment with Appendix A (containing paragraphs [0001] through [0091]), Appendix B (containing paragraphs [0001] through [0091]), Appendix C (containing currently pending claims 1 through 47), and Appendix D (containing amended claims 5, 7, 8, 10, 11, 15, 16, 19, 21-24, 26, 27, 31, 32, 38-43 and 47), Letter to the Chief Draftsman and attached revised figures with annotations in red (1 sheet, 1 figure); Transmittal of Formal

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Drawings Prior to Notice of Allowance; Formal Drawings (4 sheets, 5 figures); Information Disclosure Statement with Form PTO-1449 and attached references.

A check in the amount of \$1,566.00 is enclosed in payment of all required fees. If the amount of the check is insufficient, please debit Deposit Account No. 20-1469 for the remainder of the fee. If the amount is in excess of that required, please credit that deposit account.

Respectfully submitted,

Joseph A. Walkowski Registration No. 28,765 Attorney for Applicants

TRASKBRITT, PC

P. O. Box 2550

Salt Lake City, Utah 84110-2550 Telephone: (801) 532-1922

Date: August 24, 2001

JAW/dlm

Enclosures: Copy of Notice To File Missing Parts of Application

Declaration for Patent Application (With Power of Attorney) (2 total pages)

Check No. 17189 in the amount of \$1,566.00

Preliminary Amendment with Appendix A, Appendix B, Appendix C, and

Appendix D,

Letter to the Chief Draftsman and attached revised figures with annotations in red

(1 sheet, 1 figure);

Transmittal of Formal Drawings Prior to Notice of Allowance (1 page); and

Formal Drawings (4 sheets, 5 figures)

Information Disclosure Statement (3 pages) with Form PTO-1449 (3 pages) and

attached references

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www.uspto.gov



# United States Patent

TRADEMARK OFFICE

AUG 2 8 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/864,927

05/24/2001

Lee E. Cannon

4624US (300-006)

24247 TRASK BRITT P.O. BOX 2550 SALT LAKE CITY, UT 84110 CONFIRMATION NO. 2424
FORMALITIES LETTER
\*OC0000000006227672\*

Date Mailed: 06/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/30/2001 BNGUYEN1 00000008 09864927

01 FE:101 02 FE:103 03 FE:102 04 EE:105 710.00 OP 486.00 OP

FILED UNDER 37 CFR 1.53(b)

486.00 UP 240.00 OP 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$726.
  - \$486 for 27 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1566.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

## A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





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Attorney Docket No.: 4624US (300-003-

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August 24, 2001 Date of Deposit

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Typed/printed name of person whose signature is contained above

## TRANSMITTAL OF FORMAL DRAWINGS PRIOR TO NOTICE OF ALLOWANCE

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached please find the formal drawings for this application.

Respectfully submitted,

Jøseph A. Walkowski Registration No. 28,765 Attorney for Applicants

TRASKBRITT, PC P. O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: (801) 532-1922

Date: August 24, 2001

JAW/df/dlm



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Joseph A. Walkowski
Typed/printed name of person whose signature is contained above

## **LETTER TO THE CHIEF DRAFTSMAN**

Box No Fee Amendment Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants submit herewith revised FIG. 1 which corrects an error in the drawings. Specifically, FIG. 1 has been revised to change the lead line/arrow for reference numeral 200 in the upper right hand corner of the drawing. Attached is a copy of the drawing with the proposed change marked in red.

Serial No.: 09/864,927

No new matter has been added. Approval of the proposed revision is respectfully requested.

Respectfully submitted,

Joseph A. Walkowski Registration No. 28,765 Attorney for Applicants

TRASKBRITT, PC P. O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: (801) 532-1922

Date: August 24, 2001

JAW/df/dlm

Enclosure: Drawing with change marked in red